

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Nobuyuki KASAMA et al.

Serial No. 10/068,450

: Group Art Unit - 2653

Filed: February 7, 2002

: Examiner- Christopher R. Magee

For: NEAR FIELD INFORMATION
RECORDING/REPRODUCING
APPARATUS HAVING WAVE-
GUIDE WITH REFLECTION
SURFACE (As Amended)

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:
:
:
: Docket No. S004-4650

MS DD
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
UNDER RULES 97 AND 98

S I R:

This information disclosure statement is being filed pursuant to 37 CFR §1.97(d) and is being filed after the mailing date of either a final action under 37 CFR §1.113 or a notice of allowance under 37 CFR §1.311, but before payment of the issue fee. As required by 37 CFR §1.97(d), this information disclosure statement is accompanied by the following:

- (1) A check in the amount of \$180.00 to defray the required fee set forth in 37 CFR §1.17(p); and
- (2) The following 37 CFR §1.97(e) certification:

MAILING CERTIFICATE ON PAGE 3

Rule 97(e) Certification

Applicants' undersigned attorney, pursuant to 37 CFR §1.97(e), hereby certifies that each item of information disclosed herein was cited in a foreign Search Report in applicants' counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

Information Disclosure Statement

Pursuant to 37 CFR §1.98, a list of patents, publications or other information submitted for consideration by the PTO is provided on annexed Form PTO-1449. A legible copy of each item of information listed on the Form is attached thereto and submitted herewith. Also submitted is a copy of the foreign Search Report which cited each item of information.

As to each item of information, if any, which is not in the English language, a concise explanation of the relevance thereof pursuant to 37 CFR §1.98(a)(3) is given in the Search Report which cited the information.

Consideration of this information disclosure
statement is respectfully requested.

Respectfully submitted,

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By: 

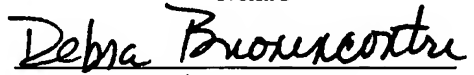
Bruce L. Adams
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MAILING CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: MS DD, COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

Debra Buonincontri

Name



Signature

October 22, 2004

Date

FC-1 PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT, AND TRADEMARK OFFICE LIST OF PRIOR ART CITED BY APPLICANT	ATTY. DOCKET NO. S004-4650		SERIAL NO. 10/068,450
	APPLICANT Nobuyuki KASAMA et al.		
	FILING DATE February 7, 2002	GROUP 2653	

U.S. PATENT DOCUMENTS													
*		DOCUMENT NO.							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5	9	8	2	4	0	9	11/09/99	HIROKANE et al.	347	256	
	AB												
	AC												
	AD												
	AE												
	AF												
	AG												
	AH												
	AI												
	AJ												
	AK												

FOREIGN PATENT DOCUMENTS														
*		DOCUMENT NO.							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	AL	1	0	6	1	5	1	0	12/20/00	EPO	--	--	n.a.	n.a.
	AM	0	5	4	9	2	3	6	06/30/93	EPO	--	--	n.a.	n.a.
	AN	00	2	1	5	4	9	4	08/04/00	JAPAN	--	--	n.a.	n.a.
	AO													
	AP													
	AQ													

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)													
	AR												
	AS												
	AT												
EXAMINER: Christopher R. Magee									DATE CONSIDERED:				

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.